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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number 09802223

Filing Date 2001-03-08

First Named Inventor Keith

Art Unit 3694

Examiner Name Ojo O. Oyebisi

Attorney Docket Number 125524

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| Art Unit                   |  | 3694       |
| Examiner Name Ojo O        |  | . Oyebisi  |
| Attorney Docket Number     |  | 125524     |

| /O.O./                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 1 | U.S. Securities and Exchange Commission, "Special Study: Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Economic Analysis, December 2000, <a href="http://www.sec.gov/news/studies/ordpay.htm">http://www.sec.gov/news/studies/ordpay.htm</a> [retrieved March 25, 2008], pages 1 and 12-14. |               |                 |            |  |  |  |  |  |
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| Examiner Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   | ture                                                                                                                                                                                                                                                                                                                                                                          | /Ojo Oyebisi/ | Date Considered | 11/07/2008 |  |  |  |  |  |
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